

Financial Assistance Grants - General Purpose Model Constraints (Caps & Collars) over time

	GENERAL CONSTRAINTS		SPECIFIC CONSTRAINTS				
			Applied when a council's: » (Per Capita Applied) Calculation is significantly higher/lower than Final Estimated Grant and/or; » the % of Raw Calculation is significantly higher/lower to the average PCA/Scaleback factor				
FA Grant Year	Standard Minimum	Standard Maximum	Below Standard Minimum		Above Standard Maximum		Notes
			Criteria	Minimum	Criteria	Maximum	
1997-98	-4.8%	4.5%	-	None	-	None	No real specific constraints in place. Grants fell into the range specified in general constraints
1998-99	-10.0%	20.0%	• 'Selected' councils held	0% to -5%	• 'Selected' councils held	10%	
1999-00	-10.0%	20.0%	• 'Selected' councils held	0%	• 'Selected' councils held	5%	
2000-01	-10.0%	20.0%	-	None	• 'Selected' councils held	8% & 10%	
2001-02	-10.0%	20.0%	• Predetermined amount to selected councils	up to -21%	• 'Selected' councils held	8% & 10%	
2002-03	-10.0%	20.0%	• Predetermined amount to selected councils	up to -27%	-	None	
2003-04	-10.0%	20.0%	• Predetermined amount to selected councils	up to -31%	-	None	
2004-05	-10.0%	20.0%	PCA applied to Selected Councils	None	-	None	
2005-06	-10.0%	20.0%	• 'Selected' councils held	-5%	-	None	
2006-07	-5.0%	10.0%	• 'Selected' councils held	0%	-	None	
2007-08	0%	10.0%	-	None	-	None	
2008-09	-1.0%	10.0%	• 'Selected' councils held	0%	-	None	
			• < -30%	-2%			
2009-10	-1.5%	9.0%	• 'Selected' councils held	0%	-	None	
			• < -50%	-2%			
2010-11	-1.5%	9.0%	• -20% to -50% (away from PCA)	-3%	• 50% to 100% (away from PCA)	10%	
			• < -50% (away from PCA)	-5%	• > 100% (away from PCA)	12%	
2011-12	-1.5%	9.0%	'Selected' councils held	0% to -5%	> 100% (away from PCA)	12%	
2012-13	-1.5%	9.0%	• -20% to -30% (away from PCA)	-3%	• 50% to 100% (away from PCA)	10%	
			• < -30% (away from PCA)	-5%	• > 100% (away from PCA)	12%	
2013-14	-1.5%	9.0%	• -15% to -20% (away from PCA)	-2%	• 50% to 100% (away from PCA)	10%	
			• < -20% regional (away from PCA)	-3%	• > 100% (away from PCA)	12%	
			• < -20% metro (away from PCA)	-5%			
2014-15	-1.0%	0.5%	• -15% to -20% (away from PCA)	-2%	-	None	3 year Indexation pause (Year 1 of 3)
			• < -20% (away from PCA)	-3%			
2015-16	-1.0%	0.5%	• -15% to -20% (away from PCA)	-2%	-	None	3 year Indexation pause (Year 2 of 3)
			• -20% to -30% (away from PCA)	-3%			
			• < -30% (away from PCA)	-4%			3 year Indexation pause (Year 3 of 3)
2016-17	-1.0%	0.5%	-	None	-	None	
2017-18	-2.0%	10.0%	-	None	• > 50% (away from PCA)	14%	
2018-19	-2.0%	10.0%	-	None	• > 50% (away from PCA)	14%	
2019-20	1.0%	10.0%	• If the percentage of Final Estimated Grant over Raw Calculation is >40%	0%	• > 50% (away from PCA)	14%	Standard minimum Minimum floor aproach introduced. Applied 1.0% floor if raw calculation is increasing from the previous year.
			• If the percentage of Final Estimated Grant over Raw Calculation is >40% & < -30% (away from PCA)	-3%			
2020-21	0.0%	2.0%	• If the percentage of Final Estimated Grant over Raw Calculation is >36.5% & <40%	-1%	• If the percentage of Final Estimated Grant over Raw Calculation is <26%	10%	
			• If the percentage of Final Estimated Grant over Raw Calculation is >40%	-5%	• > 50% (away from PCA)	20%	
			• If the percentage of Final Estimated Grant over Raw Calculation is >40% & < -30% (away from PCA)	-10%			
2021-22	0.0%	10.0%	• If the percentage of Final Estimated Grant over Raw Calculation is >36	-1%	• If the percentage of Final Estimated Grant over Raw Calculation is <26%	20%	
			• If the percentage of Final Estimated Grant over Raw Calculation is >36% & <-20% (away from PCA)	-5%	& > 44% (away from PCA)	40%	
			• If the percentage of Final Estimated Grant over Raw Calculation is >36% & <-30% (away from PCA)	-10%	• > 100% (away from PCA)		
2022-23	0.0%	10.0%	• If the percentage of Final Estimated Grant over Raw Calculation is >36% & <-10% (away from PCA)	-3%	• If the percentage of Final Estimated Grant over Raw Calculation is <31%	15%	
			• If the percentage of Final Estimated Grant over Raw Calculation is >36% & <-12% (away from PCA)	-5%	& either > 29%, >35% >50% (away from PCA)	25%	
			• If the percentage of Final Estimated Grant over Raw Calculation is >50% & either <-40%, <-50%, <-60% (away from PCA)	-15%	• > 70% (away from PCA)	30%	
				-20%		60%	
				-25%			
2023-24	0.0%	10.0%	• If the percentage of Final Estimated Grant over Raw Calculation is >39.5% & <-9% (away from PCA)	-1%	• If the percentage of Final Estimated Grant over Raw Calculation is <31%	15%	
			• If the percentage of Final Estimated Grant over Raw Calculation is >41% & <-12% (away from PCA)	-3%	& >30% (away from PCA)	30%	
			• If the percentage of Final Estimated Grant over Raw Calculation is >45% & <-40% (away from PCA)	-20%	• > 70% (away from PCA)		
			• If the percentage of Final Estimated Grant over Raw Calculation is >45% & <-50% (away from PCA)	-25%			